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**Highlights**

- A new method is developed using cation exchange resin to methyl-esterify pectin.
- The method can product high DE of HG and RG-I pectin without destroying structures.
- Pectins with varying DE can be prepared by controlling the reaction conditions.

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1 **Controlled methyl-esterification of pectin catalyzed by cation exchange resin**

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12 **Abstract**

13 This study developed a new method to methyl-esterify pectin using a cation exchange  
14 resin. Homogalacturonan (HG)-type pectin (WGPA-3-HG) and rhamnogalacturonan  
15 (RG)-I-type pectin (AHP-RG) obtained from the roots of panax ginseng and  
16 sunflower heads, respectively were used as models. Compared to commonly used  
17 methyl-esterification methods that use either methyl iodide or acidified methanol, the  
18 developed method can methyl-esterify both HG- and RG-I-type pectins without  
19 degrading their structures via  $\beta$ -elimination or acid hydrolysis. In addition, by  
20 modifying reaction conditions, including the mass ratio of resin to pectin, reaction  
21 time, and temperature, the degree of esterification can be controlled. Moreover, the  
22 resin and methanol can be recycled to conserve resources, lower costs, and reduce  
23 environmental pollution. This new methodology will be highly useful for industrial  
24 esterification of pectin.

25

26 **Keywords:** Pectin; Methyl-esterification; Cation exchange resin; Degree of  
27 esterification

## 28 1. Introduction

29 Pectins are complex polysaccharides present in all plant primary cell walls  
30 (Ridley, O'Neill, & Mohnen, 2001). The predominant structure of pectin is  
31 homogalacturonan (HG), which is mainly composed of  $\alpha$ -(1→4)-D-GalpA (De Vries,  
32 Den Uijl, Voragen, Rombouts, & Pilnik, 1983; Thibault, Renard, Axelos, Roger, &  
33 Crépeau, 1993). The second major structural element of pectin is rhamnogalacturonan  
34 I (RG-I), which consists of repeating disaccharide units [ $\rightarrow$ 4)- $\alpha$ -D-GalpA-(1→2)  
35 - $\alpha$ -L-Rhap-(1→)] in the backbone and neutral side chains composed of arabinan,  
36 galactan, or arabinogalactan (AG) (Yapo, 2011). The GalpA residues in pectin can be  
37 methyl-esterified at their carboxyl groups, and the percentage of esterified GalpA  
38 residues per total GalpA residues is defined as the degree of esterification (DE), one  
39 of the most important properties of pectin (Jiang, Liu, Wu, Chang, & Chang, 2005).

40 Pectin has been widely used in the food industry as a gelling and stabilizing agent  
41 (Gamonpilas, Krongsin, Methacanon, & Goh, 2015), and the gelling mechanisms and  
42 properties are closely related to its DE (Garnier, Axelos, & Thibault, 1993;  
43 Ngouémazong et al., 2012; Ralet, Dronnet, Buchholt, & Thibault, 2001). Industrial  
44 demand for pectin with tunable abilities to gel or stabilize fruit and dairy products has  
45 increased the need for pectin with controllable DE, which also has a significant  
46 impact on the biological activities of pectin. For example, esterified cross-linking in  
47 pectin impacts its ability to induce apoptosis in prostate cancer cells (Jackson et al.,  
48 2007). The inhibitory potency of de-esterified RG-I-4 on galectin-3-mediated  
49 hemagglutination is decreased 50-fold when compared to normal RG-I-4 (Gao et al.,  
50 2013). In addition, structural analysis of pectin via  $\beta$ -elimination requires  
51 esterification of GalpA residues (Deng, O'Neill, Hahn, & York, 2009; Deng, O'Neill

52 & York, 2006). Therefore, it is crucial to develop effective methods to control  
53 methyl-esterification.

54 Several methods have been reported to methyl-esterify carboxylic acid groups in  
55 pectin. The most commonly used are reactions of tetrabutyl ammonium pectinate with  
56 methyl iodide (Matricardi, Dentini, Crescenzi, & Ross-Murphy, 1995; Renard &  
57 Jarvis, 1999a, b) and the treatment of pectin with methanol acidified with sulfuric or  
58 hydrochloric acid (Rosenbohm, Lundt, Christensen, & Young, 2003; van Alebeek,  
59 Zabolina, Beldman, Schols, & Voragen, 2000; Willats et al., 2000). However, these  
60 approaches are also responsible for extensive depolymerization of pectin via  
61  $\beta$ -elimination (Renard & Thibault, 1996) or acid hydrolysis of glycosidic linkages  
62 (Bertaud, Sundberg, & Holmbom, 2002; Rosenbohm et al., 2003; Willats et al., 2000).  
63 Furthermore, separation of the acid catalysts from the reaction mixture is very  
64 difficult and produces wastewater and equipment corrosion. Therefore, new methods  
65 for methyl-esterification of pectin need to be developed.

66 The objective of this study was to develop a new way to methyl-esterify both  
67 HG- and RG-I-type pectins using a cation exchange resin as a catalyst. The efficiency  
68 of methyl-esterification by this new method was compared to those using methyl  
69 iodide and hydrochloric acid-acidified methanol. The effects of different conditions  
70 were also assessed on the degree of esterification, including varying the mass ratio of  
71 resin to pectin and reaction time, as well as temperature.

72

## 73 **2. Materials and methods**

### 74 *2.1. Materials*

75 Strongly acidic cation exchange resin (AG 50W-X8) was purchased from  
76 Bio-Rad (Hercules, California, USA). The functional group of the resin is sulfonic

77 acid, and the mesh size is 100-200 with a mean particle size of 106-250  $\mu\text{m}$ . Standard  
78 polygalacturonic acid (DE=0%) and pectin (DE=92%) were purchased from  
79 Sigma-Aldrich Co. (St. Louis, MO, USA). The roots of *Panax ginseng* and sunflower  
80 heads were cultivated and collected from Changbai Mountain and Baicheng city in  
81 Jilin province of China, respectively. All other chemicals were of analytical grade.

## 82 2.2. Preparation of pectins

83 Ginseng pectin WGPA and its fraction WGPA-3-HG were prepared and  
84 characterized as described by Zhang et al. (2009). Briefly, water-soluble ginseng  
85 polysaccharide (WGP) was extracted from the roots of *P. ginseng* using hot water and  
86 precipitated with 80% ethanol. WGP was then applied to a DEAE-Cellulose column  
87 (8.0 $\times$ 20 cm, Cl<sup>-</sup>) and eluted with distilled water to give the neutral fraction (WGPN),  
88 and the column was washed further with 0.5 M NaCl to give the acid fraction  
89 (WGPA). WGPA was loaded onto a DEAE-Cellulose column (8.0 $\times$ 20 cm, Cl<sup>-</sup>) and  
90 eluted with a stepwise gradient of aqueous NaCl (0, 0.1, 0.2, 0.3 and 0.5 M) to give  
91 five fractions: WGPA-N, WGPA-1, WGPA-2, WGPA-3 and WGPA-4. WGPA-3 was  
92 applied to a semi-preparative Sepharose CL-6B column (3.0 $\times$ 90 cm) yielding two  
93 fractions: WGPA-3-RG and WGPA-3-HG.

94 The heads of sunflower (*Helianthus annuus* L.) were extracted with 0.2% oxalic  
95 acid (solid: liquid ratio 1: 16, w/v) at 100°C for 1 h and filtered through four sheets of  
96 gauze. The solid material was extracted again under the same conditions. The filtrates  
97 were combined, centrifuged to remove water-insoluble materials, concentrated to  
98 1500 mL and precipitated with 60% aqueous ethanol. After centrifugation, the  
99 supernatant was precipitated with 80% aqueous ethanol. Following further  
100 centrifugation and drying by solvent exchange (95% ethanol, acetone, and ether), the  
101 polysaccharide fraction AHP-0.2-80% was obtained. AHP-0.2-80% was further

102 fractionated using a preparative Sepharose CL-6B column (3.0×90 cm) to yield two  
103 fractions: AHP-RG and AHP-HG.

### 104 2.3. Methyl-esterification of pectin

#### 105 2.3.1. Methyl-esterification of pectin with methyl iodide

106 Pectin was methyl-esterified by treating with methyl iodide (MeI) and tetrabutyl  
107 ammonium fluoride (TBAF) in DMSO containing 8% water, as described by Deng et  
108 al. (2006). Briefly, a suspension of pectin (100 mg) in water (1.6 mL) and DMSO (20  
109 mL) containing TBAF (200 mg) and MeI (100 µL) in a 50-mL round-bottom flask  
110 was stirred at room temperature for 18 h. The reaction mixture was poured into  
111 ice-cold water (60 mL) and centrifuged to remove iodine. The resulting supernatant  
112 was dialyzed (MWCO 3500) against deionized water for 48 h and then lyophilized.

#### 113 2.3.2. Methyl-esterification of pectin with acidified methanol

114 Methyl-esterification of pectin by methanol acidified with hydrochloric acid  
115 (HCl-MeOH) was performed as previously described (Van Alebeek et al., 2000). Each  
116 pectin sample (100 mg) was added to anhydrous methanol (20 mL) containing 0.1 M  
117 HCl, and the suspension was stirred at room temperature (20°C) for 3 days. The  
118 methyl-esterified pectin was filtered off and washed carefully with 80% aqueous  
119 ethanol until no more chloride was present in the washings. Finally, the product was  
120 washed with absolute ethanol and dried under reduced atmospheric pressure.

#### 121 2.3.3. Methyl-esterification of pectin catalyzed by cation exchange resin in methanol

122 Methyl-esterification of pectin catalyzed by cation exchange resin was carried  
123 out as follows. Pectin (100 mg) and anhydrous methanol (200 mL) were placed in a  
124 500-mL round-bottomed flask attached to a reflux condenser. The mixture was heated  
125 at reflux temperature (65°C) in an oil bath and stirred with a magnetic stirring bar for  
126 2 h until the swollen pectin formed a relatively homogeneous suspension. The cation



127 exchange resin was then added as the catalyst (mass ratio of resin to pectin, 0 to 3.0),  
128 and the suspension was stirred at 65°C or 20°C for 0 h to 24 h. Upon completion of  
129 the reaction, the mixture was filtered to remove methanol, and the resulting residue  
130 was dissolved in distilled water, filtered, and washed carefully with distilled water.  
131 The solution was freeze-dried to yield methyl-esterified pectin, and the remaining  
132 resin was regenerated by activation at 105°C.

#### 133 2.4. Determination of degree of esterification (DE)

134 The DE of pectin was estimated by using FT-IR as previously described  
135 (Chatjigakis et al., 1998; Kyomugasho, Christiaens, Shpigelman, Van Loey, &  
136 Hendrickx, 2015; Singthong, Cui, Ningsanond, & Goff, 2004). To quantify the DE of  
137 the products, a calibration curve was constructed based on pectin standards of known  
138 DE (20, 40, 50, 60 and 80%) that were prepared by mixing the appropriate quantities  
139 of commercial standards. The mixed pectin samples were dissolved in deionized water,  
140 and the pH was adjusted to 6.0 with KOH to guarantee total ionization of the  
141 carboxylic acid groups. The standard pectins and products were dried and desiccated  
142 in a vacuum jar prior to FT-IR analysis. FT-IR spectra were obtained using a Nicolet  
143 magna 750 FT-IR spectrophotometer equipped with a DTGS detector covering the  
144 frequency range of 400 to 4000  $\text{cm}^{-1}$  at a resolution of 4  $\text{cm}^{-1}$  with 128 co-added  
145 accumulated transients. Specific bands at 1740 and 1630  $\text{cm}^{-1}$  corresponded to the  
146 absorption of the esterified carbonyl groups and carboxylic ions, respectively. The DE  
147 was proportional to the ratio of the area from the band at 1740  $\text{cm}^{-1}$  over the sum of  
148 the areas from the bands at 1740 and 1630  $\text{cm}^{-1}$ . The regression equation used for the  
149 calibration curve was  $DE=138.15A_{1740}/(A_{1740}+A_{1630})-0.0705$  ( $r^2=0.995$ ; where  $A_{1740}$   
150 and  $A_{1630}$  are the areas from the bands at 1740 and 1630  $\text{cm}^{-1}$ , respectively).

151

152 2.5. *Sugar composition analysis*

153 Sugar composition was analyzed using high-performance liquid chromatography  
154 (HPLC) as described previously (Yang, Zhao, Wang, Wang, & Mei, 2005). In brief,  
155 each pectin sample (2 mg) was first methanolized with anhydrous methanol (1.0 mL)  
156 containing 2 M HCl at 80°C for 16 h, and the products were hydrolyzed with 2 M  
157 trifluoroacetic acid (TFA, 0.5 mL) at 120°C for 1 h. The released monosaccharides  
158 were derivatized with 1-phenyl-3-methyl-5-pyrazolone (PMP) and analyzed on a  
159 DIKMA Inertsil ODS-3 column (4.6 mm×150 mm) connected to a Shimadzu HPLC  
160 system (LC-10ATvp pump and UV-VIS detector). The derivative (20 µL) was injected,  
161 eluted with 82.0% PBS (0.1 M, pH 7.0) and 18.0% acetonitrile (v/v) at a flow rate of  
162 1.0 mL/min, and monitored by UV absorbance at 245 nm.

163 2.6. *High performance gel permeation chromatography*

164 The molecular weight of pectin was determined by high performance gel  
165 permeation chromatography (HPGPC) on a TSK-gel G-3000PW<sub>XL</sub> column (7.8  
166 mm×300 mm, TOSOH, Japan) coupled to a Shimadzu HPLC system as previously  
167 described (Zhang et al., 2009). The column was pre-calibrated using standard dextrans  
168 of known molecular weights of 1, 5, 12, 25, and 50 kDa. Each pectin sample (20 µL,  
169 5 mg/mL) was injected, eluted with 0.2 M NaCl at a flow rate of 0.6 mL/min, and  
170 monitored using a refractive index RID-10A detector (Shimadzu, Tokyo, Japan).

171 2.7. *<sup>13</sup>C NMR spectra*

172 Each pectin sample (20 mg) was prepared by solvation in deuterated water (1.0  
173 mL, 99.8%) and stirred overnight at room temperature. <sup>13</sup>C NMR spectra (57,000  
174 transients) were acquired at 25°C using a Bruker AV600 NMR spectrometer operating  
175 at a <sup>13</sup>C frequency of 150 MHz. Chemical shifts (δ) were expressed in ppm relative to  
176 that of acetone (δ=29.77).

177 2.8. *Statistical analyses*

178 SPSS 11.0 programs were used in the statistical analysis. All results were  
179 expressed as mean  $\pm$  standard deviation (SD). Data obtained from the study were  
180 analyzed statistically using ANOVA and dunnett-tests. Values of  $P < 0.05$  and  $P < 0.01$   
181 were considered to be significant.

182

183 **3. Results and discussion**

184 *3.1. Methyl-esterification of pectin*

185 In this study, two types of pectin (WGPA-3-HG and AHP-RG) were used for  
186 esterification. As shown in Table 1, WGPA-3-HG was an HG-type pectin with a DE  
187 of 34.7%, composed of GalA (84.4%), Rha (4.6%), Gal (4.9%) and Ara (6.1%)  
188 (Zhang et al., 2009), and its molecular weight was approximately 17 kDa (Fig. 1A).  
189 AHP-RG, extracted from sunflower heads and fractionated by ethanol precipitation  
190 and gel permeation chromatography (unpublished data), contained GalA (34.3%), Rha  
191 (25.6%), Gal (33.5%), Ara (1.4%), Glc (4.0%), and Man (1.2%). The ratio of  
192 Rha/GalA was 0.76, which fell in the RG-I range of 0.05 to 1.0 as defined by Schols  
193 and Voragen (1996), suggesting that AHP-RG was an RG-I-type pectin. At the  
194 beginning of the reaction, the DE was 27.2% and the molecular weight was  
195 approximately 77 kDa (Fig. 1B and 2B). WGPA-3-HG and AHP-RG were used as  
196 starting materials for methyl-esterification reactions catalyzed by cation exchange  
197 resin in methanol, as well as by the two commonly used methods that employ methyl  
198 iodide or hydrochloric acid-acidified methanol.

199

199 **Table 1** Yield and sugar composition of WGPA-3-HG, AHP-RG and their methyl-esterified products.

Sample	Yield (%)	DE (%) <sup>a</sup>	Sugar composition (%)					
			GalA	Rha	Gal	Ara	Glu	Man
WGPA-3-HG	100	34.7±0.9	84.4±0.6	4.6±1.4	4.9±0.3	6.1±0.4	—	—
HG-CH <sub>3</sub> I <sup>b</sup>	73.2±1.0*	86.2±1.3**	68.6±0.5**	9.8±0.3*	7.3±0.4*	12.1±0.3**	2.2±0.2	—
HG-HCl <sup>c</sup>	71.9±1.2*	84.6±1.7**	90.6±0.5	—	4.3±0.3	2.1±0.2**	1.8±0.3	1.2±0.2
HG-resin <sup>d</sup>	85.5±0.5*	95.0±1.3**	84.2±0.6	3.9±0.2	4.3±0.3	6.3±0.3	1.3±0.2	—
AHP-RG	100	27.2±1.4	34.3±0.5	25.6±0.3	33.5±0.4	1.4±0.3	4.0±0.4	1.2±0.3
RG-CH <sub>3</sub> I <sup>b</sup>	76.3±1.1*	85.2±1.5**	32.9±0.3	30.2±0.5	31.1±0.3	0.8±0.2*	3.6±0.3	1.4±0.2
RG-HCl <sup>c</sup>	79.8±1.2*	75.9±1.2**	52.9±0.6**	34.1±0.3	8.7±0.2**	0.4±0.2**	3.5±0.5	0.4±0.4*
RG-resin <sup>d</sup>	82.7±0.8*	84.6±1.3**	35.1±0.4	25.4±0.4	33.6±0.4	1.3±0.3	3.7±0.4	0.9±0.3

200 a, Data are expressed as mean ± SD of triplicate measurements. Compared with WGPA-3-HG or

201 AHP-RG, \* means  $p < 0.05$ , \*\* means  $p < 0.01$ .

202 b, product of methyl-esterification using methyl iodide.

203 c, product of methyl-esterification using methanol acidified with HCl.

204 d, product of methyl-esterification catalyzed by cation exchange resin with a resin/pectin mass ratio of

205 1.0 at 65 °C for 24 h.

206

### 207 3.1.1. Methyl-esterification of HG-type pectin

208 The extent of esterification, estimated by the DE of products, was determined by

209 using Fourier transform infrared spectroscopy (FT-IR). Sugar composition and

210 molecular weight of the products obtained by the three methods are given in Table 1

211 and Fig. 1A. Methyl-esterification of WGPA-3-HG performed with methyl iodide

212 yielded a product (HG-CH<sub>3</sub>I) with a DE of 84.6%. However, the GalA content of

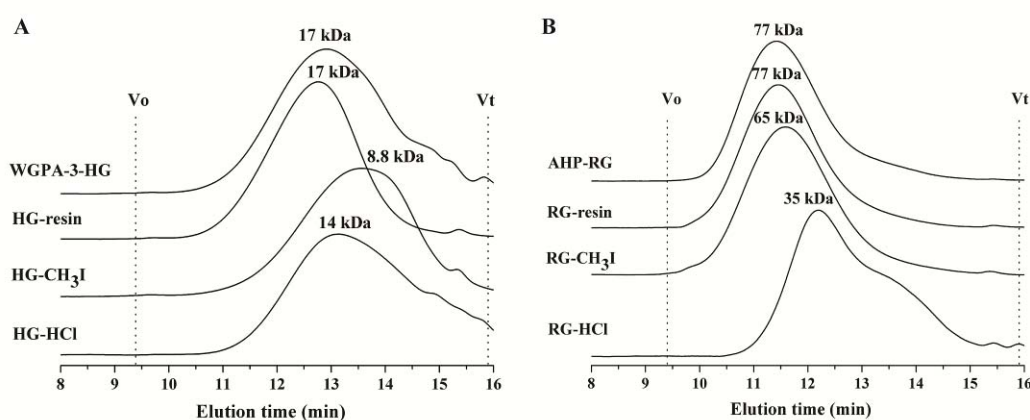
213 HG-CH<sub>3</sub>I decreased from 84.4% to 68.6%, and the molecular weight was markedly

214 decreased from 17 kDa to 8.8 kDa, suggesting that the polymer chain was partially

215 degraded by  $\beta$ -elimination due to the basic reaction conditions (Deng et al., 2006).

216 Methyl-esterification using methanol acidified with hydrochloric acid yielded a

217 product (HG-HCl) having a higher DE of 86.2%. However, its molecular weight was  
 218 decreased slightly to 14 kDa, with the GalA content being increased to 90.6% and the  
 219 Ara and Gal contents being decreased to 2.1% and 4.3%, respectively. These results  
 220 indicated that the neutral sugars of the side chains were hydrolyzed under these acidic  
 221 conditions, whereas the backbone remained unaffected. This finding is consistent with  
 222 the fact that the glycosidic linkages between neutral sugar residues are more readily  
 223 hydrolyzed than those between galacturonic acid residues (Garna, Mabon, Nott,  
 224 Wathelet, & Paquot, 2006). Lastly, the methyl-esterification catalyzed by the cation  
 225 exchange resin was highly specific, resulting in product (HG-resin) showing the  
 226 highest DE (95.0%) and without marked changes in either molecular weight or sugar  
 227 composition. This indicates that methyl-esterification catalyzed by cation exchange  
 228 resin is a useful method for esterification of HG-type pectins.



229

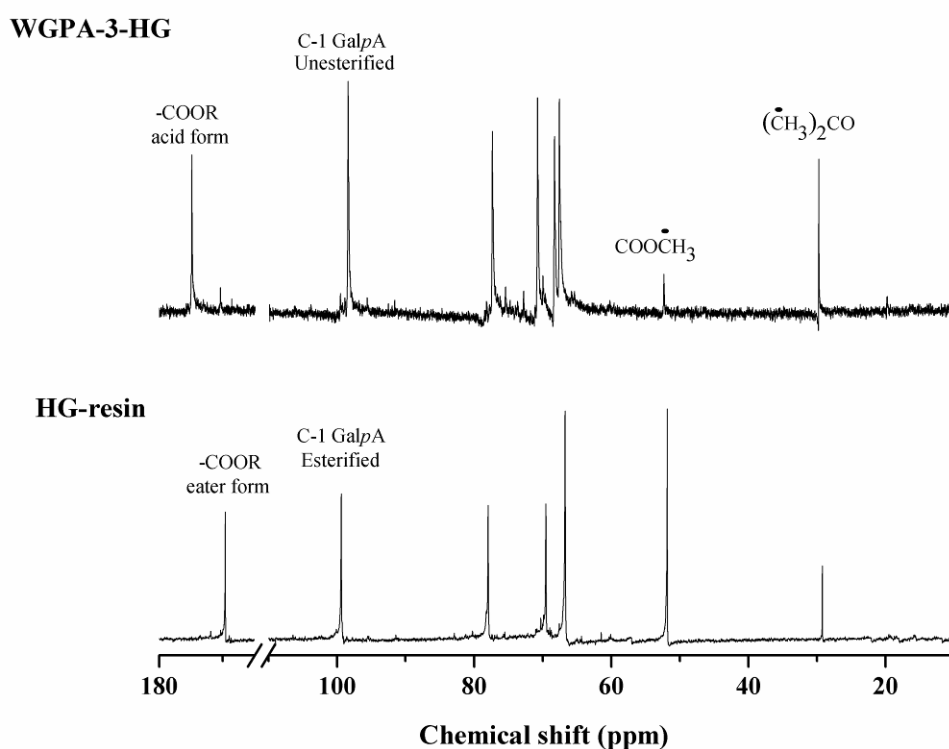
230 **Fig. 1.** HPGPC profiles of (A) WGPA-3-HG and its methyl-esterified products, and (B) AHP-RG and  
 231 its methyl-esterified products.

232

233 The structures of the methyl-esterified product of WGPA-3-HG were further  
 234 characterized by <sup>13</sup>C NMR (Fig. 2). Six prominent signals were observed and assigned  
 235 to the non-esterified units of  $\alpha$ -galacturonic acid ( $\alpha$ -GalA): C-1, 98.5 ppm; C-2, 67.6  
 236 ppm; C-3, 68.3 ppm; C-4, 77.4 ppm; C-5, 70.8 ppm; and C-6, 174.9 ppm (Zhang et al.,

11

237 2009). Following methyl-esterification, the signal at 174.9 ppm was shifted to 170.2  
 238 ppm, which was attributed to methylated carboxyl groups, and the resonance at 98.5  
 239 ppm was shifted to 100.0 ppm, which was attributed to the C-1 of esterified  $\alpha$ -GalA  
 240 (Vriesmann & Petkowicz, 2009; Westereng, Michaelsen, Samuelsen, & Knutsen,  
 241 2008). The signal at 52.5 ppm, associated with methyl groups bonded to the carboxyls  
 242  $\alpha$ -GalA, was significantly increased in intensity (Tamaki, Konishi, Fukuta, & Tako,  
 243 2008). These results further proved that WGPA-3-HG was highly esterified using our  
 244 new method.



245

246 **Fig. 2.** The  $^{13}\text{C}$  NMR spectra of WGPA-3-HG and its methyl-esterified product HG-resin.

### 247 3.1.2. Methyl-esterification of RG-I-type pectin

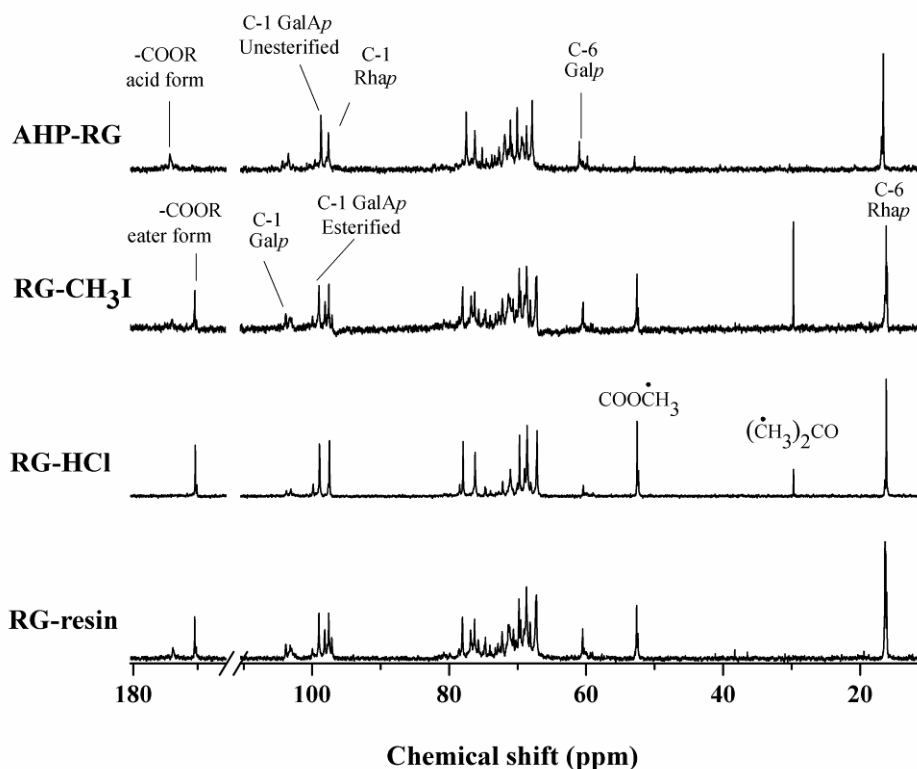
248 The RG-I-type pectin AHP-RG was also methyl-esterified by the same three  
 249 methods, with results shown in Table 1 and Fig. 1B. Methyl-esterification using the  
 250 methyl iodide approach increased the DE to 75.9%, decreased the molecular weight  
 251 from 77 kDa to 65 kDa and the GalA content to 32.9%, and increased the Rha content

12

252 to 30.2%. These results indicated that the partially esterified HG domains in AHP-RG  
253 were somewhat degraded by  $\beta$ -elimination, whereas the RG-I domains were  
254 minimally affected. The product (RG-HCl) that resulted from reaction with  
255 HCl-acidified methanol showed that the DE increased to 85.2% and the molecular  
256 weight decreased sharply to 35 kDa. Furthermore, the Gal and Ara content was  
257 decreased to 8.7% and 0.4%, respectively, whereas the GalA and Rha content was  
258 increased to 52.9% and 34.1%, respectively. These results revealed that the neutral  
259 sugars in the side chains of RG-I domains were significantly hydrolyzed by acid  
260 hydrolysis (Yapo, Lerouge, Thibault, & Ralet, 2007), whereas the HG domains  
261 remained essentially unchanged. The methyl-esterification catalyzed by cation  
262 exchange resin yielded the product RG-resin, which displayed a higher DE of 84.6%  
263 and no changes in molecular weight or sugar composition. This indicates that the  
264 method catalyzed by cation exchange resin could be used satisfactorily for  
265 methyl-esterification of RG-I-type pectins as well.

266 The  $^{13}\text{C}$  NMR spectrum of AHP-RG (Fig. 3) was more complex than that of  
267 WGPA-3-HG, due to a higher content of neutral sugars. Thus, aside from the C-1 and  
268 C-6 resonances of non-esterified  $\alpha$ -GalA (98.2 and 173.9 ppm, respectively), those  
269 from C-1 and C-6 of  $\alpha$ -L-rhamnosyl units (97.1 and 16.1 ppm, respectively) and of  
270  $\beta$ -D-Gal units (103.9, 103.2 and 59.3 ppm, respectively) were observed in the  
271 spectrum of AHP-RG (Westereng et al., 2008; Yu et al., 2010). In the spectra of all  
272 three products, the C-1 signal at 98.2 ppm was shifted to 99.0 ppm, and the  
273 corresponding high-frequency C-6 signal was shifted to 170.2 ppm due to  
274 methyl-esterification of carboxyl groups in GalA residues. Moreover, the signal at  
275 52.4 ppm, to which the methyl groups bound to the carboxyl groups of GalA were  
276 assigned, was significantly increased in intensity. These results showed that AHP-RG

277 was methyl-esterified by all three methods. However, compared to AHP-RG, RG-HCl  
 278 produced a much simpler spectrum in which the C-1 and C-6 resonances of Gal were  
 279 decreased in intensity, suggesting that the neutral sugars in the side chains were  
 280 hydrolyzed. This finding is in agreement with the observed changes in molecular  
 281 weight and sugar composition. With the exception of signals from esterified GalA and  
 282 those from the methyl groups themselves, RG-CH<sub>3</sub>I and RG-resin gave similar NMR  
 283 spectra to that of AHP-RG. These results suggest that the overall structure of AHP-RG  
 284 was not changed upon methyl-esterification using either methyl iodide or cation  
 285 exchange resin.



286  
 287 **Fig. 3.** The <sup>13</sup>C NMR spectra of AHP-RG and its methyl-esterified products.

288

289

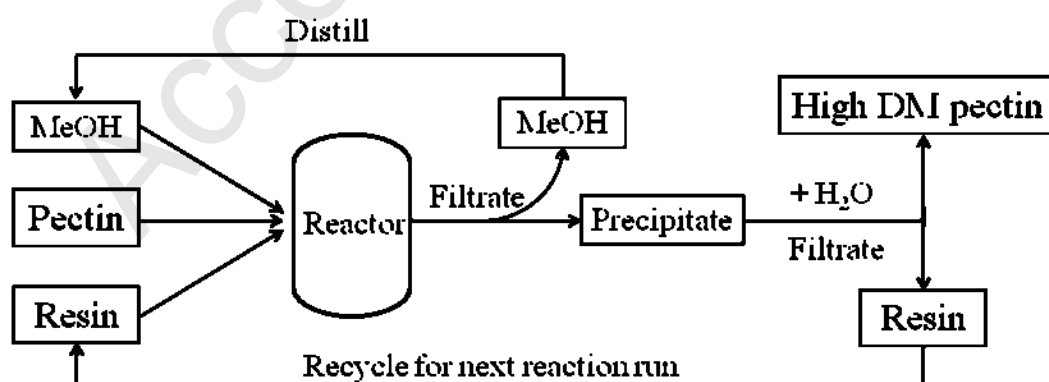
290 Cation exchange resins are often used for esterification (Chen, Xu, Okuhara, &

291 Toshio, 1999; Harmer, & Sun, 2001; Heidekum, Harmer, & Hoelderich, 1999; Yadav

14



292 & Thathagar, 2002) and trans-esterification reactions (Dos Reis, Lachter, Nascimento,  
 293 Rodrigues Jr, & Reid, 2005) in organic syntheses of small molecules, due to its  
 294 non-corrosive properties and ease of separation. In the present study, cation exchange  
 295 resin was used to catalyze the methyl-esterification of carboxylates in pectins, with its  
 296 efficiency being compared to commonly used methods with methyl iodide and  
 297 acidified methanol. Methyl-esterification using methyl iodide was sufficient for  
 298 RG-I-type pectin, but not for HG-type pectin where the polymer backbone displayed  
 299 significant degradation by  $\beta$ -elimination under basic conditions. On the other hand,  
 300 methyl-esterification using acidified methanol was only optimal for HG-type pectin  
 301 because the neutral sugars in the side chains of RG-I pectin were degraded by acid  
 302 hydrolysis. Moreover, the acid was difficult to isolate, leading to wastewater and  
 303 corrosive conditions for the equipment. However, these problems were avoided by  
 304 using catalyzing the reaction using cation exchange resin, which readily modify both  
 305 HG and RG-I pectins effectively without structural denaturation. The reaction scheme  
 306 is illustrated in Fig. 4, showing that both the resin and methanol can be regenerated  
 307 and recycled upon completion of the reaction, exemplifying the principle of “green  
 308 chemistry”.



309

310 **Fig. 4.** Schematic diagram of the methyl-esterification of pectin catalyzed by cation exchange resin.

311

312 *3.2. Effect of reaction conditions on methyl-esterification by cation exchange resin*

15

313 Having demonstrated that methyl-esterification catalyzed by cation exchange  
314 resin functioned well with both HG- and RG-I-type pectins, we investigated how the  
315 reaction might be affected by varying reaction conditions, such as the mass ratio of  
316 resin to pectin, reaction time, and temperature. To further validate our method, WGPA  
317 isolated from the roots of *P. ginseng* was also methyl-esterified by cation exchange  
318 resin. WGPA was determined to be a mixture of HG- and RG-I-type pectins with a DE  
319 of 32.6%, containing GalA (53.4%), Rha (6.2%), Glu (10.8%), Gal (11.1%), Ara  
320 (16.3%) and GlcA (2.2%). Its molecular weight range was relatively broad, based on  
321 its presence in multiple eluent fractions from a Sepharose CL-6B column, similar to  
322 results described by Zhang et al. (2009).

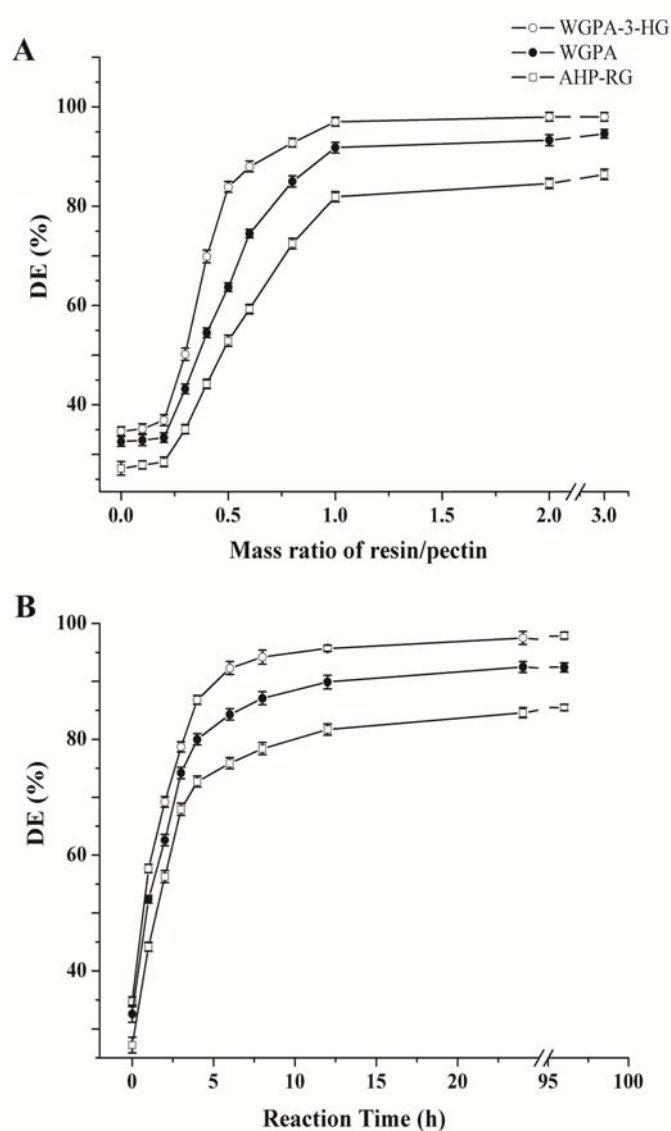
### 323 *3.2.1. Effect of mass ratio of resin to pectin on the DE of products*

324 The effect of the resin/pectin mass ratio on the DE of WGPA, WGPA-3-HG and  
325 AHP-RG was investigated using different mass ratios, with other reaction parameters  
326 being fixed at a reaction time of 24 h and temperature of 65°C. As shown in Fig. 5A,  
327 there was an increasing trend in the DE of all three pectins. With WGPA, the DE  
328 changed little when the mass ratio fell below 0.2. As the mass ratio was increased  
329 from 0.3 to 1.0, the DE was significantly increased from 32.6% to 85.8%, but  
330 remained essentially unchanged upon further increases in mass ratio. Similar trends  
331 were observed with both WGPA-3-HG and AHP-RG. Therefore to obtain pectin with  
332 a relatively high DE, a mass ratio of resin to pectin greater 1.0 was required. On the  
333 other hand, it is possible to prepare a pectin with a specific DE by varying the  
334 resin/pectin mass ratio.

### 335 *3.2.2. Effect of reaction time on the DE of products*

336 The effect of reaction time on the DE of products was studied by running  
337 reactions at different times under otherwise constant conditions with a resin/pectin

338 mass ratios of 1.0 and reaction temperature of 65°C. The results in Fig. 5B showed  
 339 that the DE of the three pectins significantly increased as the reaction times were  
 340 increased from 1 to 12 h, with the highest DE being observed at or after 24 h.  
 341 Therefore, a reaction time of 24 h is required to obtain pectins with higher DE,  
 342 although the DE can be tailored to any desired value by controlling the reaction time  
 343 within the range of 1 to 12 h.



344  
 345 **Fig. 5.** Effect of (A) resin/pectin mass ratio and (B) reaction time on the DE of pectins. Reaction  
 346 conditions for (A): resin/pectin mass ratio from 0 to 3.0, 65°C for 24 h. Reaction conditions for (B):  
 347 reaction time from 0 to 96 h, resin/pectin mass ratios of 1.0 at 65°C. The values are means  $\pm$  SD from  
 348 three experiments.

349

350 *3.2.3. Effect of reaction temperature on the DE of products*

351 To study the effect of temperature on the DE of the products, the reaction was  
 352 carried out at the boiling point of methanol (65°C) or at room temperature (20°C),  
 353 while other reaction conditions were held constant with a resin/pectin mass ratio of  
 354 1.0 and reaction time of 24 h. Table 2 shows that the DE of pectins produced at 65 °C  
 355 is approximately 13% higher than that obtained at 20°C. This result indicates,  
 356 perhaps not unexpectedly, that a higher reaction temperature increases the DE of  
 357 products.

358

359 **Table 2** Effect of reaction temperature on the DE of pectins<sup>a</sup>

Sample	DE (%)		
	Original	20°C	65°C
WGPA	32.6 ± 1.5	80.3 ± 1.5**	92.5 ± 1.1**
WGPA-3-HG	34.7 ± 0.9	82.7 ± 1.4**	95.0 ± 1.1**
AHP-RG	27.2 ± 1.4	71.2 ± 1.3**	84.6 ± 0.9**

360 <sup>a</sup> Data are expressed as mean ± SD of triplicate measurements. Compared with the original DE values,361 \*\* means  $p < 0.01$ .

362

363 Overall, it was found that all of the tested reaction conditions had significant  
 364 effects on the extent of pectin methyl-esterification and that the DE of products could  
 365 be tailored to any desired value by controlling reaction conditions (resin/pectin mass  
 366 ratio, reaction time and temperature). In addition, the DE of WGPA-3-HG, AHP-RG  
 367 and WGPA obtained under the same reaction conditions were different. HG-type  
 368 pectin had the highest DE, while RG-I-type pectin had the lowest DE due to the

18

369 differences in their structures. Indeed, WGPA-3-HG is a linear chain polymer  
370 composed primarily of  $\alpha$ -(1,4)-linked-D-galacturonic acid residues, and its carboxyl  
371 groups are exposed, making this molecule more susceptible to modification. AHP-RG  
372 consists of a backbone of alternating Rha and GalA residues with galactan side chains  
373 that typically inhibit esterification of carboxyl groups in adjacent regions. WGPA is a  
374 mixture of HG- and RG-I-type pectin, and its DE fell in between those of  
375 WGPA-3-HG and AHP-RG.

376

#### 377 **4. Conclusions**

378 The simple catalysis with cation exchange resin was used to methyl-esterify  
379 pectin for the first time. Compared to the commonly employed methods using methyl  
380 iodide or acidified methanol, our resin-based method is most effective for methyl-  
381 esterification of HG- and RG-I-type pectins, because it can produce high DE pectin  
382 without marked degradation of their polymer chains. Moreover, it is possible to  
383 prepare these pectins with varying DE by controlling the resin/pectin mass ratio,  
384 reaction time, and reaction temperature. The resin and methanol can also be recycled  
385 to conserve resources, reduce costs, and minimize environmental pollution. These  
386 results will be highly useful for studying the structure-function relationships of  
387 pectins and may have future applications in the food industry.

388

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394

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